



Doctoral Program of the Department of Business Administration Timeline for the Doctoral Degree Oral Exam (“Defense”)

The Doctoral Degree Oral Examination (below “defense”) is an important exam at the end of your doctoral studies that you have to apply for after you have passed the *Doctoral Program Candidate Qualifying Examination* and finished the first draft of your dissertation. A defense can only be held during the term, i.e. during the winter semester (between October, 1st to 31st January) or during the spring semester April, 1st to July, 31st.

As several administrative levels of the university are involved in the organization of doctoral degree exams, you have to start the application process at least four weeks before the planned defense date.

Please read carefully through the following information where all procedures are explained step by step.

I Four weeks before the defense: Preparing documents

Please go to the [Student Information System](#). The below documents need to be filled out online, downloaded and printed out. You will be asked to key in information such as the dissertation title, your supervisor’s name, the planned date of the defense, and detailed information on the Doctoral Degree Examination Committee Members. The members (5 - 9) of this committee will be selected by your supervisor, so please confirm their information (names, affiliation, position etc.) with your supervisor first. At least one third the committee members have to be external (i.e. non-NTUST faculty). Please make sure that all information is entered correctly.

1. Qualification Form by Doctoral Degree Examination Committee (博士學位考試委員審定書) (to be signed by your supervisor and all committee members on the day of the defense)
2. Doctoral Dissertation Examination Application Form (研究所博士學位論文考試申請書)(to apply for the date of the defense; to be signed by you and your supervisor)
3. Doctoral Dissertation Recommendation Form (博士學位論文指導教授推薦書) (to confirm who is supervisor of your dissertation; to be signed by you and your supervisor)
4. List of Doctoral Degree Examination Committee Members (博士學位考試委員名冊) (names will be provided by your supervisor; you have to type in the information)
5. Thesis Defense Examination Evaluation Form (博士學位考試評分表) (one for each committee member)
6. Graduate Student Thesis Academic Ethics and Authentication of Originality Statement(學位論文學術倫理暨原創性比對聲明書)



II. Two weeks before departmental meeting: Submitting documents to the DBA secretariat

The *Doctoral Degree Thesis Defense Examination Committee* will be established in a DBA-meeting which will decide if you meet the qualifications for the defense. Departmental meetings are usually held on the second Thursday of each month. Please submit your application to the DBA-secretariat no later than the 30th day of the previous month, so that the committee meeting can be arranged accordingly. You have to prepare the following filled out forms and documents:

1. DBA Doctoral Degree Oral Examination (“Defense”) Checklist (企管系博士班研究生申請博士學位考試審查表; to be downloaded from DBA-Website)
2. Doctoral Dissertation Examination Application Form (研究所博士學位論文考試申請書; with your and your supervisor’s signature)
3. Doctoral Dissertation Recommendation Form (with your supervisor’s signature)
4. List of Doctoral Degree Examination Committee Members
5. One paper copy of the first draft of your dissertation
6. The complete transcripts of your doctoral studies (to be obtained from the vending machine in the Administrative Building)
7. Proof of having co-published a paper with your thesis advisor in an indexed journal with information on the journal’s classification (check [NTUST library](#)). If the paper has only been accepted, but not yet published, a letter of acceptance has to be added.
8. Academic Integrity Declaration (to be downloaded from [SOM-Website](#))
9. ” Dissertation draft and abstract”: Document Checklist for Doctoral Degree Examination Application Format of dissertation draft should be the same as that of finalized dissertation, as the following

After the departmental meeting, meeting minutes will be drafted and submitted to the Office of Academic Affairs to formally seek approval to hold Doctoral Degree Examination. This may take two or three weeks as the Dean of the Office of Academic Affairs and the NTUST President need to sign the application.

III. After obtaining approval: Preparations a week before the defense

After you have obtained the approval that your defense can be held as planned, you need to prepare more forms and documents for the day of the defense.

1. Receipts for the Remuneration and Travel expenses of Committee Members: The members of the Defense Committee will receive a remuneration for their service, and non-NTUST members



will be reimbursed for travel their expenses. The payments will be administered by the DBA-secretariat. You can download the receipt form from the [DBA website](#).

2. You have to submit your dissertation **without** references, appendices, questionnaires etc., to the [Turnitin academic integrity testing system](#), offered by NTUST library. The result has to be below **20%**. Print out the *Turnitin* similarity comparison report for each committee member. Please consider that applications for a Turnitin comparison class with the NTUST library may take **two days** for processing and are only valid for one semester.

IV. On the Day of the Defense

On the day of your defense, you have to bring the following documents:

- a. Doctoral Dissertation Recommendation Form (downloaded from the information system with your supervisor's signature)
- b. *Qualification Form by Doctoral Degree Examination Committee* (to be signed by all committee members)
- c. *Thesis Defense Examination Evaluation Form* (1 for each committee member; to be signed)
- d. *Receipts for the Remuneration and Travel expenses of Committee Members* (downloaded from the [DBA-website](#), to be signed by the committee member)
- e. The *Turnitin Similarity Reports* for each committee member.
- f. *Graduate Student Thesis Academic Ethics and Authentication of Originality Statement*

Please bring the following documents back to the DBA-secretariat, **immediately after the defense**.

- a. *Signed Receipts for the Remuneration and Travel expenses of Committee Members member*)
 - b. *Signed Qualification Form by Doctoral Degree Examination Committee*
 - c. *Signed Evaluation Forms for Doctoral Degree Examination Committee Defense*
 - d. *Graduate Student Thesis Academic Ethics and Authentication of Originality Statement*
- b.c.d. your supervisor will send them back to the DBA-secretariat

The *Qualification Form by Doctoral Degree Examination Committee* needs to be signed by the Chairperson of the DBA which may take **2-3 working days**. It will be handed back to you so that you can scan it and **include it in the print-version** and e-version of your dissertation.

V. After the Defense: Revising and Submitting the Dissertation



Please revise your dissertation according to committee members' suggestions. The final version of your dissertation has to be submitted (both paper and digital copies) in the beginning of the following semester/ academic year. The deadline is marked in the [NTUST academic calendar](#).

Submitting the thesis as an e-file: You have to upload the e-file of your dissertation to the [NTUST Library platform](#). Please read through the [Thesis/Dissertation Submission and Graduation Procedures](#) (by the NTUST Library System Information) where the process explained in detail.

Submitting the dissertation as paper-copies: Please print two copies of your dissertation. One copy (hardcover) has to be submitted to the NTUST library, and one copy (softcover) to the DBA office (to be submitted to National Central Library subsequently). There are strict regulations for the binding of the dissertation and for the wording, format and layout of the cover page. It is recommended to get the printing and binding done at the **on-campus Digital Printing and Copy Shop** which is informed about the format regulations for the DBA-thesis.

*softcover page is color card No.S 304.

IV. School Leaving Procedures

The "School Leaving Procedures" have to be completed to obtain your doctoral degree certificate. Thus, even if you decide to stay on at Taiwan Tech as a post-doc, you need to complete the school leaving procedures.

The respective form can be downloaded from the [NTUST Student Information System](#) → Personal Information → Leaving processes for Graduate Students

Submitting the "softcover thesis" "The *Turnitin Similarity Reports* for each committee member" and "The *Turnitin Similarity Reports*" to the BA Office.